

**Chemistry Industry Association of Canada
Responsible Care® Certification**

Report of other compliance obligations, CIAC Responsible Care® Commitments

Submitted by: INEOS Joffre, AB to CIAC
Auditor/firm: Maureen Pococke BSI

As part of the RC14001:2023 audit of:
INEOS Joffre, AB
38430 Hwy 815
Joffre, Alberta
T4N 6A1 Canada

Audit team's conclusions about the effectiveness of the management system:

☐	Based on the results of the audit, the audit team determined that the management system was effectively implemented and maintained per defined requirements and is deemed capable of achieving expected outputs.
X	Based on the results of the audit, the audit team determined that the management system was effectively implemented and maintained per defined requirements and is deemed capable to achieve expected outputs, except as noted in the nonconformities.
☐	Based on the results of the audit, the audit team determined that the management system was not effectively implemented and maintained per defined requirements nor deemed capable to achieve expected outputs.

Audit team's conclusions about the effectiveness of the CIAC Other Responsible Care® Requirements and commitment to Responsible Care® Ethic and Principles:

X	Based on the results of the audit, the audit team determined that the CIAC Other Responsible Care Requirements and commitment to Responsible Care Ethic and Principles were effectively implemented and maintained per defined requirements and is deemed capable to achieve expected outputs.
☐	Based on the results of the audit, the audit team determined that the CIAC Other Responsible Care Requirements and commitment to Responsible Care Ethic and Principles were effectively implemented and maintained per defined requirements and is deemed capable of achieving expected outputs, except as noted in the nonconformities.
☐	Based on the results of the audit, the audit team determined that the CIAC Other Responsible Care Requirements and commitment to Responsible Care Ethic and Principles were not effectively implemented and maintained per defined requirements nor deemed capable of achieving expected outputs.

Description of Company, Audited Facilities, Process and Scope of Audit

1. INEOS Canada Partnership Joffre, AB. is a wholly owned subsidiary of INEOS Oligomers, headquarters in League City, TX. Globally, INEOS Oligomers based in League City, TX is a chemical company with profit of 234MM Euros in 2024.
The company currently has about 625 employees in 5 countries and is represented at 6

production sites 1 in Canada, 2 in US, 1 in France, 1 in Belgium, and 1 in Germany. The headquarters is in League City, TX. There are approximately 115 employees in Canada. This site manufactures oligomers. For additional information please consult corporate website: <https://www.ineos.com>. INEOS Canada Partnership Joffre, AB is currently seeking certification under the ACC's RC14001:2023 Technical Specification. The certification was completed as part of the RC14001:2023 audit conducted at the INEOS Joffre site from Nov 3 to Nov 8, 2025.

2. Summary of Non-Conformances

- RC14001 7.2 Competence

An employee conducting opacity testing was missing a record showing they had been trained on this test.

- RC 14001 9.1.2 Evaluation of Compliance

There was not adequate documented evidence for the evaluation of compliance which was conducted in 2025.

- RC 14001 6.1.2 Aspect Identification

It was not clear in the aspect list that abnormal or reasonably foreseeable emergency situations were considered and included in the list.

- RC 14001 6.1.2 Aspect Identification

While reviewing the HSS aspects, it was not clear how significant aspects are determined.

- RC 14001 7.5.2 Creating and Updating Documentation

- When doing a tour of the warehouse, it was noted there were postings in the area that were not document controlled such as Building 66 Fire Safety and Emergency Response procedures.

- RC 14001 10.2 Corrective actions

While reviewing corrective actions it was noted that the process is missing a step for checking effectiveness of the actions taken.

- RC14001 9.3 Management Review

Management review was missing a review of EHSS objectives as an input and outputs for:

conclusions on the continuing suitability, adequacy and effectiveness of the environmental management system

decisions related to continual improvement opportunities

decisions related to any need for changes to the environmental management system, including resources

actions, if needed, when environmental objectives have not been achieved

opportunities to improve integration of the environmental management system with other business processes, if needed

any implications for the strategic direction of the organization

3. Summary of opportunities for improvement

- Garlock Gasket replacements
- Improve discernment of criticality of maintenance tasks on vessels
- Caustic reduction trial through increased circulation rate in D-5342

4. Summary of the evidence relating to CIAC other compliance obligations:

a) **The capability of the Other Responsible Care Requirements and commitment to Responsible Care Ethics and Principles to meet applicable requirements and expected outcomes.**

- A clear and present commitment to organizational and operational sustainability is well evidenced in INEOS management's oversight through providing monthly metrics with upper management. These metrics are reviewed and approved for implementation by upper management
- With respect to the requisite CIAC Responsible Care® code practices, INEOS has been an active member of CIAC since 2003 and continues to contribute to promotion of Responsible Care® Ethics and Principles
- Recommitment letter by was signed by the site director on 1-8-2025 and CIAC confirmed they had received it

b) **Participation in TRANSCAER activities by the company where it has operations**

Organization is part of the CAER-Group. Coordinated community engagement and information on emergency response preparedness.

TRANSCAER meetings -

Prairie Region,

Prairie Region virtual meeting in May 22, 2025 discussed training and support to responders in the region, update to the safety training car, new PRTC members, update on the national committee, and a roundtable.

interviewee has been a member for years.

If there were to be railroad issue, NOVA would take the lead in addressing the issue, as they own the rail yard. Trained INEOS employees would assist as needed.

The development and communication of a worst-case scenario

Dispersion modeling was used to determine worst case scenario is related to the catastrophic failure butene bullet last updated 8-26-2018, emergency operation center SAFER software, JCAP Worst Case Scenario Presentation center Area Pre Plans- various documents are included for specific plans to address a specific issue for the worst case According to the documentation Unit ERP-Index HSE-SIH-DOC-0370 Unit Area Pre Plan for Butene Storage area there was on 10-28-2022 there was a full scale emergency response exercise; reviewed the documentation and actions that were found

c) **Participation by long-term leadership in CIAC Responsible Care activities, committees and submission of annual recommitment letter.**

Recommitment letter signed and submitted 1/8/2025 by the site director. Top management is involved in reviewing and approving environmental, health, safety and security metrics and objectives.

d) **Evidence the company has provided CIAC with benchmarking data and other required submissions.**

NERM Survey for CIAC issued for reporting year 2024 for emissions, waste and water, production, recycling, hazardous waste, non-hazardous waste, and water use. Report was sent May 13, 2025

e) **Evidence the company has reviewed its activities against CIAC's Responsible Care Principles and Ethic, and its position on sustainability and corporate responsibility.**

Management Review conducted June 2, 2025 included review for their activities and monthly activity to review environmental, health, safety and security metrics and progress on objectives with top management.

f) **Evidence of stakeholder/community dialogue including interviews by auditors with local community representatives.**

Interviewed a member of the Joffre Community Advisory Panel CAP that includes representatives from the community, NOVA, and INEOS. Jim Roberts is a community member of the group and he was interviewed. There are 2 meetings per year and a meeting was held on Nov 5, 2025. 75 members of the community participated. were included. The communications from INEOS are appreciated. INEOS are considered to be good community supporters. They support Youth Group, United Way, Food Bank, and Christmas Bureau. It was also stated it was a very clean site.

g) **Evidence that the company promotes Responsible care by name with internal and external stakeholders and they are aware of the term.**

Evidence that the company promotes Responsible care by name with internal and external stakeholders and they are aware of the term.

INEOS has developed a quick fact Joffre Plant pamphlet with Responsible Care logos and Responsible Care Statement 2025 that provides facts and data for their RC activities.

h) **Engagement with indigenous communities or groups near production facilities with respect for their unique history, culture and rights.**

There is acknowledgment of the indigenous communities in their safety meetings There are plans to join NOVA with their activities related to their engagement with indigenous communities next year.

5. Organizational Strengths, Successful (excellent/best) practices to be shared:

- Their operator training program is excellent and very thorough
- The plant is well maintained and very clean
- No recordable injuries in the last 8 years
- Diligent in assuring all emergency drills are conducted as planned

Individuals interviewed external to the organization:

- Jim Roberts from the community, member of the CAP

Auditor(s): _Maureen Pococke_____

Date: _1-31-2026_____